

Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

LIST OF DIRECTORS AND THEIR ROLE AND FUNCTION

The members of the board (the “**Board**”) of directors (the “**Directors**”) of Southwest Securities International Securities Limited are set out below.

Executive Directors

Mr. WU Jian*(Chairman)

Mr. PU Rui* (Chief Executive Officer)

Mr. ZHANG Chunyong*

Mr. XU Mingdi*

Ms. LIANG Yiqing*

Independent Non-Executive Directors

Professor WU Jun*

Mr. MENG Gaoyuan*

Mr. GUAN Wenwei

There are 3 Board committees. The membership information of these committees on which each Board member serves are set out below.

Board Committee Name of Director	Audit Committee	Remuneration Committee	Nomination Committee
Mr. WU Jian*			C
Mr. PU Rui*			
Mr. ZHANG Chunyong*			
Mr. XU Mingdi*			
Ms. LIANG Yiqing*		M	
Professor WU Jun*	M	C	M
Mr. MENG Gaoyuan*	C	M	M
Mr. GUAN Wenwei	M	M	M

Notes:

C Chairman of the relevant Board committees

M Member of the relevant Board committees

Hong Kong, 1 November 2016

* For identification purpose only