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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

POLL RESULTS OF THE ANNUAL GENERAL MEETING HELD ON 25 JUNE 2021

POLL RESULTS OF THE AGM

At the Annual General Meeting (the “AGM”) of Southwest Securities International Securities Limited (the “Company”) held on 25 June 2021, all the proposed resolutions as set out in the notice of the AGM dated 25 May 2021 were duly passed by the shareholders of the Company by way of poll.

As at the date of the AGM, the total number of issued shares in the Company was 3,661,830,613 shares, which was the total number of shares entitling the holders to attend and vote on the resolutions at the AGM. There was no share of the Company entitling the holders to attend and abstain from voting in favour of any resolutions at the AGM as set out in Rule 13.40 of the Rules Governing the Listing of Securities on The Stock Exchange of Hong Kong Limited (the “Listing Rules”), and no shareholder of the Company was required under the Listing Rules to abstain from voting at the AGM. No shareholder has stated his/her/its intention in the circular of the Company dated 25 May 2021 to vote against or to abstain from voting on any resolutions at the AGM.

The Company’s Hong Kong branch share registrar, Computershare Hong Kong Investor Services Limited, was appointed as the scrutineer for vote-taking at the AGM. Set out below are the poll results in respect of the respective resolutions put to the vote at the AGM:

ORDINARY RESOLUTIONS		No. of votes (%)^(Note 1)	
		FOR	AGAINST
1.	To receive and consider the report of the directors, the audited consolidated financial statements and the independent auditor’s report for the year ended 31 December 2020	2,713,685,233 (100%)	0 (0%)
2.	(a) To re-elect Mr. MENG Gaoyuan, a retiring director, as an independent non-executive director of the Company	2,713,685,233 (100%)	0 (0%)
	(b) To re-elect Dr. GUAN Wenwei, a retiring director, as an independent non-executive director of the Company	2,713,685,233 (100%)	0 (0%)

ORDINARY RESOLUTIONS		No. of votes (%) ^(Note 1)	
		FOR	AGAINST
2.	(c) To re-elect Mr. LIANG Jilin, a retiring director, as an independent non-executive director of the Company	2,713,685,233 (100%)	0 (0%)
3.	To authorise the board of directors of the Company to fix the remuneration of the directors of the Company	2,713,685,233 (100%)	0 (0%)
4.	To re-appoint Ernst & Young as auditors of the Company and to authorise the board of directors of the Company to fix their remuneration	2,713,685,233 (100%)	0 (0%)
5.	To grant a general mandate to the directors of the Company to allot, issue and deal with new shares in the Company ^(Note 2)	2,713,685,233 (100%)	0 (0%)
6.	To grant a general mandate to the directors of the Company to repurchase shares in the Company ^(Note 2)	2,713,685,233 (100%)	0 (0%)
7.	To extend the general mandate granted by resolution no. 5 by adding the shares purchased pursuant to the general mandate granted by resolution no. 6 ^(Note 2)	2,713,685,233 (100%)	0 (0%)

Notes:

1. The number of votes and the percentage of total votes as stated on above are based on the total number of the issued shares held by the shareholders who attended and voted at the AGM in person, by authorised representative or by proxy.
2. For the full text of the proposed resolutions, please refer to the notice of AGM as contained in the circular of the Company dated 25 May 2021.

As more than 50% of the votes were cast in favour of each of the above ordinary resolutions, all the ordinary resolutions were duly passed by way of poll at the AGM.

By order of the Board
Southwest Securities International Securities Limited
Wu Jian
Chairman

Hong Kong, 25 June 2021

As at the date of this announcement, the executive directors of the Company are Mr. Wu Jian (Chairman) and Dr. Zhao Mingxun; and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Dr. Guan Wenwei and Mr. Liang Jilin.

* For identification purposes only