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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

CHANGE OF DIRECTORS, AUTHORISED REPRESENTATIVE AND CHANGE IN COMPOSITION OF BOARD COMMITTEES

The board of directors (the “**Board**”) of Southwest Securities International Securities Limited (the “**Company**”) hereby announces that with effect from 12 April 2022:

- (a) Dr. Zhao Mingxun (“**Dr. Zhao**”) has resigned from his role as an executive director and authorized representative under the Rules Governing the Listing of Securities on the Stock Exchange (the “**Listing Rules**”) of the Company due to his desire to devote more energy to his work in other fields;
- (b) Mr. Wu Jian (“**Mr. Wu**”) has resigned from his roles as a chairman of the nomination committee and member of the remuneration committee due to his desire to devote more time to his work as the chairman of the Board of the Company.

Dr. Zhao has confirmed that, as at the date of this announcement, he has no disagreement with the Board and is not aware of any matter relating to his resignation that needs to be brought to the attention of the shareholders of the Company or The Stock Exchange of Hong Kong Limited (“**Hong Kong Stock Exchange**”).

The Board would like to express its sincere gratitude to Dr. Zhao for his contribution to the Company during his terms of office.

APPOINTMENT OF EXECUTIVE DIRECTORS AND COMMITTEE MEMBERS

The Board is pleased to announce that Mr. Zhang Hongwei (“**Mr. Zhang**”) has been appointed as an executive director, member of nomination committee and remuneration committee of the Company and Mr. Huang Changsheng (“**Mr. Huang**”) has been appointed as an executive director of the Company, with effect from 12 April 2022.

* *For identification purpose only*

Mr. Zhang

Mr. Zhang, aged 58, graduated from Chongqing Industrial School (重慶工業學校) and School of Economics and Business Administration, Chongqing University majoring in business administration in 2002. He currently serves as the chief risk officer and the general manager of risk control department of Southwest Securities Co., Ltd. Mr. Zhang was the general manager of the Nanping business department of Chongqing Securities Company (重慶有價證券公司) and the deputy manager of the securities department of the Nanping branch of Bank of Communications from 1993 to 2000, and the general managers of a number of securities business departments of Southwest Securities Co., Ltd. from 2000 to 2005. He served successively as the general manager of brokerage business department, the general manager of compliance and risk management department, the general manager of legal compliance department, the general manager of compliance department, the chief anti-money laundering compliance officer, the general manager of risk control department and the chief risk officer at headquarter of Southwest Securities Co., Ltd. from 2005. Mr. Zhang has nearly 30 years of experience in the securities market.

Mr. Zhang's directorship is subject to retirement by rotation and re-election in accordance with the Listing Rules and the Company's bye-laws. Mr. Zhang has signed a director's service agreement with the Company for a term of 3 years commencing on 12 April 2022, Mr. Zhang is not entitled to any director's fee. As at the date of this announcement, Mr. Zhang (i) does not have any relationship with any director, senior management, substantial shareholder or controlling shareholder (as defined in the Listing Rules) of the Company; (ii) has not held any other directorship in any public companies the securities of which are listed on any securities market in Hong Kong or overseas in the last three years; and (iii) does not have, and is not deemed to have, any interests in any shares or underlying shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Mr. Zhang has confirmed that, save as disclosed above, there is no other information required to be brought to the attention of the shareholders of the Company pursuant to Rule 13.51(2) of the Listing Rules in relation to his appointment as executive director of the Company.

Mr. Huang

Mr. Huang, aged 42, a certificated public accountant and a registered sponsor representative in the PRC, has extensive experience in the financial industry. He obtained a bachelor's degree in economics from Chongqing Technology and Business University in June 2001 and a master's degree in management from Chongqing University in June 2005. Mr. Huang successively worked at China Mobile Chongqing Company Limited, Guorong Securities Co., Ltd. and Chongqing Share Transfer Center Co., Ltd. from July 2005 to August 2012. He served as the senior manager, head of business team and deputy general manager of the NEEQ business department of Southwest Securities Co., Ltd. successively from September 2012 to August 2019. He became the deputy general manager of the first division of the western department of investment banking of Southwest Securities Co., Ltd. in September 2019, responsible for leading the department work.

Mr. Huang's directorship is subject to retirement by rotation and re-election in accordance with the Listing Rules and the Company's bye-laws. Mr. Huang has signed a director's service agreement with the Company for a term of 3 years commencing on 12 April 2022, Mr. Huang is not entitled to any director's fee. As at the date of this announcement, Mr. Huang (i) does not have any relationship with any director, senior management, substantial shareholder or controlling shareholder (as defined in the Listing Rules) of the Company; (ii) has not held any other directorship in any public companies the securities of which are listed on any securities market in Hong Kong or overseas in the last three years; and (iii) does not have, and is not deemed to have, any interests in any shares or underlying shares of the Company within the meaning of Part XV of the Securities and Futures Ordinance (Chapter 571 of the Laws of Hong Kong). Mr. Huang has confirmed that, save as disclosed above, there is no other information required to be brought to the attention of the shareholders of the Company pursuant to Rule 13.51(2) of the Listing Rules in relation to his appointment as executive director of the Company.

The Board would like to express its warm welcome to Mr. Zhang and Mr. Huang for joining the Board.

CHANGE OF AUTHORISED REPRESENTATIVE

The Board further announces that with effect from 12 April 2022, Dr. Zhao Mingxun has ceased to be an authorised representative of the Company as required under the Listing Rules (the "Authorised Representative") and Mr. Huang, an executive director of the Company, has been appointed as the Authorised Representative. The Board would like to express its sincere gratitude to Dr. Zhao for his contribution to the Company during his tenure of office.

CHANGE IN COMPOSITION OF BOARD COMMITTEES

The Board hereby further announces that, Mr. Liang Jilin has been appointed as a chairman of the Nomination Committee with effect from 12 April 2022.

By order of the Board
Southwest Securities International Securities Limited
Wu Jian
Chairman

Hong Kong, 12 April 2022

As at the date of this announcement, the executive Director of the Company is Mr. Wu Jian (Chairman), Mr. Zhang Hongwei and Mr. Huang Changsheng; and the independent non-executive Directors of the Company are Mr. Meng Gaoyuan, Dr. Guan Wenwei and Mr. Liang Jilin.