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Southwest Securities International Securities Limited

西證國際證券股份有限公司*

(Incorporated in Bermuda with limited liability)

(Stock Code: 812)

QUARTERLY UPDATE ON STATUS OF RESUMPTION

This announcement is made by the board of directors of Southwest Securities International Securities Limited (the “**Company**” together with its subsidiaries, the “**Group**”) pursuant to Rule 13.24A of the Rules Governing the Listing of Securities on the Main Board (the “**Listing Rules**”) of The Stock Exchange of Hong Kong Limited (the “**Stock Exchange**”) and the Inside Information Provisions under Part XIVA of the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong).

Reference is made to the announcements of the Company dated 17 September 2023, 22 September 2023, 29 November 2023, 6 December 2023, 4 March 2024, 19 March 2024 and the announcement of the Company dated 28 March 2024 in relation to the resumption guidance for the Company given by the Stock Exchange (the “**Resumption Guidance Announcement**”) (collectively, the “**Announcements**”). Unless the context requires otherwise, capitalised terms used herein shall bear the same meanings as defined in the Announcements.

UPDATE ON BUSINESS OPERATIONS OF THE GROUP

The Group’s principal businesses are brokerage and margin financing, corporate finance, asset management and proprietary trading.

As at the date of this announcement, the Group is carrying on its normal day-to-day operations. The Group will continue to review the existing businesses and changes in the market environment from time to time. On the basis of strengthening risk control and reducing operating costs, the Group is actively introducing business teams according to market conditions to seize market opportunities and gradually enrich and adjust the Group’s business structure, to enhance the Group’s profitability and overall competitiveness.

CONTINUED SUSPENSION OF TRADING

Trading in the Shares on the Stock Exchange, which was suspended with effect from 9:00 a.m. on 4 March 2024, remains suspended and will continue to be so until the Company fulfils the Resumption Guidance (and any supplement or modification thereto).

Shareholders and potential investors should exercise caution when dealing in the securities of the Company.

By order of the Board
Southwest Securities International Securities Limited
Huang Changsheng
Executive Director and Chief Executive Officer

Hong Kong, 3 June 2024

As at the date of this announcement, the executive director of the Company is Mr. Huang Changsheng (Chief Executive Officer); and the independent non-executive directors of the Company are Mr. Meng Gaoyuan, Mr. Liang Jilin and Mr. Cao Ping.

* *For identification purpose only*