

Closing of Account Form 终止帐户表格

To:

- Southwest Securities (HK) Brokerage Limited 西证(香港)证券经纪有限公司
- Southwest Securities (HK) Futures Limited 西证(香港)期货有限公司
- Southwest Securities (HK) Asset Management (HK) Limited 西证(香港)资产管理有限公司
- Southwest Securities (HK) Wealth Management Limited 西证(香港)财富管理有限公司

Please post or submit this Form to Customer Services Department at 40/F., Lee Garden One, 33 Hysan Avenue, Causeway Bay, Hong Kong. 请邮寄或递交此表格至 香港铜锣湾希慎道 33 号利园一期 40 楼 客户服务部。

ACCOUNT NAME 客户姓名 : _____

ACCOUNT NUMBER 帐户号码 : _____

(Please list out account number to be closed .请列明所须取消帐户的帐户号码。)

Please tick in the appropriate box.

- I/We would like to close the above-mentioned account(s), including ICBC sub-account (if any).本人/吾等欲终止上述账户, 包含 ICBC 财智账户 (如适用)。

Please remit all cash balance (if any) to 请将户口内有任何现金结余(如有)存入:

- my/our designated bank account in records of your company or 本人/吾等在贵行记录之指定银行户口或;
- the following bank account 以下银行户口

银行名称 Name of Bank: _____

银行户口号码 Bank Account Number: _____

户口名称 Name of Account: _____

Reason(s) for closing the account (can choose more than one) 终止账户之原因 (可选多项):

- | | |
|--|--|
| <input type="checkbox"/> 佣金及/或服务收费太高 Commission and/or service fees too high | <input type="checkbox"/> 短期内不会买卖 No trading in the coming future |
| <input type="checkbox"/> 不满意经纪/职员服务 Not satisfied with service of AE/staff | <input type="checkbox"/> 网上登入/买卖有困难 Difficulty in online login/trading |
| <input type="checkbox"/> 其他原因 (请注明) Others (Please specify) _____ | |

NOTE: All Stock/Futures real-time services including TONGDAXIN /AASOCKS /CME Group / ICE Group opened for the above account would also be terminated. 所有就上述账户开设的实时股票/期货报价服务包括通达信/阿斯达克/芝加哥商品交易所集团/美国洲际交易所等亦会被终止。

Client Signature(s) 客户签署: _____ **Date 日期 :** _____

OFFICIAL USE ONLY

Signed by AE/Staff:	Approved by Responsible Officer(RO):	Signature Verified and Data Input by CS Dept.:	
Name :	Name :	<input type="checkbox"/> A/C Check <input type="checkbox"/> ICBC A/C Check <input type="checkbox"/> All Real-time Check	
		Marker :	Checker :
		Name :	Name :
		Date :	Date :